

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Yutaka IYOKI	Group Art Unit: 2171
Appln. No.	: 10/057,937	Examiner: Ranodhi Serrao
Filed	: January 29, 2002	Confirmation No.: 3791
For	: NETWORK SCANNER AND FILE TRANSMITTING/RECEIVING SYSTEM, AND USER TERMINAL APPARATUS AND FILE TRANSMITTING/RECEIVING METHOD	

**RESPONSE UNDER 37 C.F.R. § 1.112**

Commissioner for Patents  
U.S. Patent and Trademark Office  
Customer Service Window, Mail Stop AMENDMENT  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In response to the outstanding Official Action of April 16, 2007, in which a three-month shortened statutory period for response was set to expire on July 16, 2007, Applicant respectfully requests reconsideration and withdrawal of the outstanding rejections in view of the herein contained remarks:

**Amendments to the Claims** begin on page 2 of this Response.

**Remarks** begin on page 8 of this Response.

AMENDMENTS TO THE CLAIMS

The claims in this listing will replace all prior versions, and listings, of claims in the application.

1-14. (Cancelled)

15. (Currently Amended) A terminal apparatus configured to receive image data from a scanner, the terminal apparatus comprising:

an interface configured to be connected to the scanner via a network;

a memory configured to store information indicating a plurality of file types and an application program associated with each of the plurality of the file types, each application program being configured to open a document file associated with at least one of the plurality of the file types; and

a controller configured to:

receive, from the scanner, a control file including a file name;

receive, from the scanner, a document file, the document file including image data scanned by the scanner;

analyze the file name included in the received control file to obtain the file type of the received document file;

determine whether the application program associated with the obtained file type is stored in the memory;

search the memory to determine the application program associated with the obtained file type from the application programs stored in the memory; and

start the application program associated with the obtained file type to open the received document file based upon the application program determined in the search without

user input, when it is determined that the application program associated with the obtained file type is stored in the memory.

16. (Previously Presented) The terminal apparatus according to claim 15, wherein the controller receives, from the scanner, the control file and the document file, according to a Lpr/Lpd protocol.

17. (Previously Presented) The terminal apparatus according to claim 15, wherein the controller displays the image data included in the document file on a display of the terminal apparatus, in the form of thumbnail.

18. (Previously Presented) The terminal apparatus according to claim 15, wherein the memory stores a plurality of display states associated with the information indicating the plurality of the file types, and the controller displays the image data included in the document file on a display of the terminal apparatus, based on the display state associated with the obtained file type.

19. (Previously Presented) The terminal apparatus according to claim 18, wherein the display state comprises displaying the image data in the form of a thumbnail.

20. (Currently Amended) A network system, comprising:  
a scanner configured to scan image data; and  
a terminal apparatus configured to be connected to the scanner via a network, and to store, in a memory, information indicating a plurality of file types and an application program associated with each of the plurality of the file types, each application program being configured to open a document file associated with at least one of the plurality of the file types,

the terminal apparatus being further configured to:

receive, from the scanner, a control file including a file name;

receive, from the scanner, a document file, the document file including image data scanned by the scanner;

analyze the file name included in the received control file to obtain the file type of the received document file;

determine whether the application program associated with the obtained file type is stored in the memory;

search the memory to determine the application program associated with the obtained file type from the stored application programs; and

start the application program associated with the obtained file type to open the received document file based upon the application program determined in the search without user input, when it is determined that the application program associated with the obtained file type is stored in the memory.

21. (Currently Amended) A communication method for receiving image data scanned by a scanner, using a terminal apparatus connected to the scanner via a network, the terminal apparatus storing, in a memory, information indicating a plurality of file types and an application program associated with each of the plurality of the file types, each application program being configured to open a document file associated with at least one of the plurality of the file types, the communication method comprising:

receiving, from the scanner, a control file including a file name;

receiving, from the scanner, a document file, the document file including image data scanned by the scanner ;

analyzing the file name included in the received control file to obtain the file type of the received document file; and

determining whether the application program associated with the obtained file type is stored in the memory;

searching the memory of the terminal apparatus to determine the application program associated with the obtained file type from the application programs stored in the memory; and

starting the application program associated with the obtained file type to open the received document file based upon the application program determined in the searching determined in the search without user input, when it is determined that the application program associated with the obtained file type is stored in the memory.

22. (Previously Presented) The terminal apparatus according to claim 15, wherein the interface is configured to be connectable to each of a plurality of scanners via a network, and the controller is configured to receive, from one of the plurality of the scanners, a control file including a file name and to receive, from the one of the plurality of the scanners, a document file, the document file including image data scanned by the scanner.

23. (Previously Presented) The terminal apparatus according to claim 15, the controller being further configured to determine whether data received from the scanner comprises a control file and a document file, and when the controller determines that the received data includes the control file and the document file, to search the memory.

24. (Previously Presented) The terminal apparatus according to claim 15, wherein the memory stores file extensions with associated application programs and associated display states, the control file received from the scanner including a file extension.

25. (Previously Presented) The terminal apparatus according to claim 24, the controller being configured to utilize the file extensions to search the memory for the associated application program.

26. (Previously Presented) The terminal apparatus according to claim 15, the controller being configured to determine which application program to start, based upon data stored in memory, without user input.

27. (Previously Presented) The terminal apparatus according to claim 20, the controller being further configured to determine whether data received from the scanner comprises a control file and a document file, and when the controller determines that the received data includes the control file and the document file, to search the memory.

28. (Previously Presented) The terminal apparatus according to claim 20, wherein the memory stores file extensions associated with application programs and with associated display states, the control file received from the scanner including a file extension.

29. (Previously Presented) The terminal apparatus according to claim 28, the controller being configured to utilize the file extensions to search the memory for the associated application program.

30. (Previously Presented) The terminal apparatus according to claim 20, the controller being configured to determine which application program to start, based upon data stored in memory, without user input.

31. (Previously Presented) The communication method according to claim 21, further comprising determining whether data received from the scanner comprises a control file and a document file, and when the received data is determined to include the control file and the document file, searching the memory.

32. (Previously Presented) The communication method according to claim 21, further comprising storing file extensions with associated application programs and with associated display states, the control file received from the scanner including a file extension.

33. (Previously Presented) The communication method according to claim 32, further comprising utilizing the file extensions to search the memory for the associated application program.

34. (Previously Presented) The communication method according to claim 21, further comprising determining which application program to start, based upon data stored in memory, without user input.

35. (Previously Presented) The terminal apparatus according to claim 15, wherein the controller closes the connection with the scanner without opening the received document file, when it is determined that the application program associated with the obtained file type is not stored in the memory.

REMARKS

Upon entry of the present response, claim 15, 20 and 21 will have been amended and resubmitted for consideration by the Examiner. Thus, claims 15-35 are pending in the present application. Applicant notes that the amendments are supported by, for example, page 18, line 9 - page 19, line 10 of the specification.

In view of the herein contained remarks, Applicant respectfully requests reconsideration and withdrawal of each of the outstanding rejections set forth in the above-mentioned Official Action. Such action is respectfully requested and is now believed to be appropriate and proper.

Initially, Applicant would like to express his appreciation to the Examiner for the detailed Official Action provided.

In the outstanding Official Action, the Examiner rejected claims 15, 20, 23, 25 and 26 under 35 U.S.C. § 103(a) as being unpatentable over SHAFFER et al. (U.S. Patent No. 6,785,867) and SHIH (U.S. Patent No. 6,504,626). The Examiner rejected claims 16-19 and 22 under 35 U.S.C. § 103(a) as being unpatentable over SHAFFER et al. and SHIH in view of SHIMA (U.S. Published Patent Application No. 2002/0004802). The Examiner rejected claim 24 under U.S.C. § 103(a) as being unpatentable over SHAFFER and SHIH in view of TOMAT (U.S. Patent No. 6,784,925). The Examiner rejected claim 35 under U.S.C. § 103(a) as being unpatentable over SHAFFER and SHIH in view of KUMPF et al. (U.S. Patent No. 6,289,371). Claims 21 and 27-34 were rejected under the same rationale as claims 15-20, 22-26 and 35.

Applicant respectfully traverses each of the above-noted rejections and submits that they are inappropriate with respect to the combinations of features recited in each of Applicant's claims. Accordingly, Applicant traverses each of these rejections, requests reconsideration and



withdrawal thereof together with an indication of the allowability of all the claims pending in the present application, in due course.

Applicant's invention is directed to a terminal apparatus that is configured to receive image data from a scanner. The terminal apparatus comprises an interface configured to be connected to the scanner by a network. The terminal apparatus includes a memory configured to store information indicating a plurality of file types and an application program associated with each of the plurality of file types, each of the application programs being configured to open a document file associated with at least one of the plurality of the file types.

The terminal apparatus further includes a controller that is configured to receive, from the scanner, a control file including a file name and to also receive from the scanner, a document file, the document file including image data scanned by the scanner. The controller is additionally configured to analyze the file name included in the received control file to obtain the file type of the received document file, and to search the memory to determine whether the application program associated with the obtained file type is stored in memory, and to determine the application program associated with the obtained file type, from the application programs stored in memory. The controller is additionally configured to start the application program associated with the obtained file type to open the received document file based on the application program determined in the search without user input, when it is determined that the application program associated with the obtained file type is stored in memory.

Independent claim 20 recites a network system including a scanner and a terminal apparatus as generally described above while independent claim 21 recites a generally related communication method.

In direct contrast, SHAFFER et al. relates to a system and method for automatically loading an application program associated with an e-mail application attachment file upon reception of the e-mail. In SHAFFER et al., the computer 114 checks a received e-mail to determine whether or not an attachment file has been sent along with the e-mail. The computer 114 analyzes the received application attachment file for a suffix, and compares the application attachment file suffix with the stored table 213 of application document suffixes. If a match is found, the computer 114 will cause the corresponding application program to be opened. The application programs begins running in a minimized condition. Then, when the user accesses the e-mail messages and clicks on the attachment icon, the application program is released from the minimized condition and automatically opens the attachment application file (col.4 lines 9-56).

Further, each of the various embodiments of SHAFFER et al. require, as a condition for opening of the attachment application file, that the user click on an "attachment icon". In this regard, the Examiner's attention is respectfully directed to step 314 of Fig. 4, step 518 of Fig. 5, step 418 of Fig. 6 and step 622 of Fig. 7.

However, SHAFFER et al. fails to disclose at least a terminal apparatus that starts the application program associated with the obtained file type to open the received document file based upon the application program determined in the search without user input, when it is determined that the application program associated with the obtained file type is stored in the memory. Rather, in SHAFFER et al., when the user activates the attachment icon, the application is de-minimized and the application attachment file is opened (col.5, lines 34-64). In other words, in SHAFFER et al., a user input is explicitly disclosed as being required to open the received attachment file (col.5, lines 62-64). On the other hand, in the present invention, a user input is not required to open the received document file. Moreover, the elimination of the

requirement for additionally user input is an explicitly set forth feature of the present invention. As set forth at page 18, starting at line 20, according to a feature of the present invention, “the user just instructs the scanner 2 to specify the destination and to scan the document so that the link application is automatically decided and started up by PC3 as well as scanning of the document and transmission of the image file. Further, at page 19, line 2, Applicant’s invention is described as providing that “the user does not have to carry out such operations that the link application is selected at user’s own discretion and started up”. As a result of these features of Applicant’s invention, it is possible to reduce time and effort necessary to carry out the operations and to significantly improve the efficiency of the user’s operation.

Thus, the pending claims are clearly distinguished over SHAFFER et al.

Thus, it is admitted that the features recited in Applicant’s pending claims are not disclosed in or suggested by SHAFFER et al. cited by the Examiner. Accordingly, the pending claims are distinguished over SHAFFER et al., at least for this explicitly admitted reason.

In setting forth the rejection, the Examiner relies on SHIH to overcome the admitted deficiencies of SHAFFER et al. SHIH relates to a scanner with an external keyboard.

However, SHIH fails to disclose at least a terminal apparatus that starts the application program associated with the obtained file type to open the received document file based upon the application program determined in the search without user input, when it is determined that the application program associated with the obtained file type is stored in the memory. Rather, SHIH merely teaches a scanner with an external keyboard.

Thus, the pending claims are clearly distinguished over SHIH et al.

In addition, it is respectfully submitted that the features recited in Applicant’s pending claims are not disclosed in or suggested by any proper combination of SHAFFER et al. and

SHIH cited by the Examiner. In particular, even the proposed combination of SHAFFER et al. and SHIH would not include at least a controller of a terminal apparatus that is configured to start the application program associated with the obtained file type to open the received document file based upon the application program determined in the search without user input, when it is determined that the application program associated with the obtained file type is stored in the memory. Thus, the pending claims are also submitted to be patentable over the Examiner's proposed combination, since even the combination of SHAFFER et al. and SHIH does not disclose the combinations of features recited in Applicant's pending claims.

Moreover, the Examiner has not set forth a proper reason for combining SHAFFER et al. with SHIH. SHAFFER et al. is directed to a system and method for automatically loading an application program associated with an e-mail application attachment file upon reception of the e-mail. In particular, in SHAFFER et al., a user input is explicitly required to open the received attachment file (col.5, lines 62-64). SHIH is directed to a scanner with an external keyboard. However, there is no reason why one of ordinary skill in the art would modify SHAFFER et al. to utilize features from SHIH. It does not appear from the SHAFFER et al. disclosure that SHAFFER et al. has any need for an external keyboard since the computers of SHAFFER et al. apparently already have keyboards. Further, the reasons set forth by SHIH et al. for providing an external keyboard to a scanner, do not appear to apply to the SHAFFER et al. disclosure.

Moreover, in setting forth the rejection, the Examiner has asserted no reason whatsoever for the proposed combination. The Examiner has merely set forth, in each instance, the ultimate conclusion of obviousness. However, the Examiner has not submitted any evidence of any sort whatsoever to support such conclusion. A combination rejection under 35 U.S.C. § 103 cannot be supported based merely upon the Examiner's assertion that the proposed combination would

have been obvious to one of ordinary skill in the art. Accordingly, there is no reason to combine the teachings of SHAFFER et al. and SHIH as proposed by the Examiner.

In regard to the rejection of claims 16-19 and 22, Applicant does not dispute the conventionality of the Lpr/Lpd protocol per se nor of displaying image data on a display of a terminal in the form of a thumbnail, per se. However, the utilization of these various features of Applicant's invention, in the manner recited in the various combinations of Applicant's claims, is not taught, disclosed nor rendered obvious, regardless of whether these features themselves are disclosed by SHIMA.

Further, Applicant submits that dependent claims 24 and 35 are respectively dependent from allowable independent claim 15, which is allowable for at least the reasons discussed supra. Thus, these dependent claims are also allowable for at least the reasons discussed supra. Further, all dependent claims set forth a further combination of elements neither taught nor disclosed by any of the applied references.

For each of the above-noted reasons and certainly for all of the above-noted reasons, it is respectfully submitted that the Examiner's rejections, as set forth in the above-mentioned Official Action, are inappropriate and should be reconsidered and withdrawn.

Accordingly, Applicant respectfully requests reconsideration and withdrawal of each of the outstanding rejections and an indication of the allowability of the pending claims, in due course. Such action is respectfully requested and is now believed to be appropriate and proper.

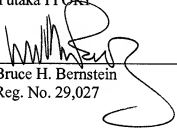
SUMMARY AND CONCLUSION

Applicant has made a sincere effort to place the present application in condition for allowance and believes that he has now done so. Applicant has amended the pending independent claims. With respect to the pending claims, Applicant has discussed the disclosure of the references relied upon by the Examiner, and the features recited in the claims, and has pointed out the shortcomings of the references with respect thereto, as well as the lack of any proper reasoning for the combination. Accordingly, Applicant has provided a clear evidentiary basis for the patentability of all the claims in the present application and respectfully requests an indication to such effect in due course.

Any amendments to the claims which have been made in this amendment, and which have not been specifically noted to overcome a rejection based upon the prior art, should be considered to have been made for a purpose unrelated to patentability, and no estoppel should be deemed to attach thereto.

Should the Examiner have any questions or comments regarding this Response, or the present application, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,  
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